

JCG3 Rec'd PCT/PTO 13 JUN 2003

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U. S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER <b>ASZD-P01-940</b> U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>107538318</b>
INTERNATIONAL APPLICATION NO. PCT/GB2003/005542	INTERNATIONAL FILING DATE 18 December 2003	PRIORITY DATE CLAIMED 24 December 2002
TITLE OF INVENTION    4,5-DIARYLTHIAZOLE DERIVATIVES AS CB-1 LIGANDS		
APPLICANT(S) FOR DO/EO/US    Berggren et al.		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)). a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</p>		
<b>Items 11 to 20 below concern document(s) or information included:</b>		
<p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A preliminary amendment.</p> <p>14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.</p> <p>18. <input checked="" type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: Copy of International Preliminary Examination Report from PCT/GB2003/005542 Copy of International Search Report from PCT/GB2003/005542 Certificate of Express Mail Return Receipt Postcard</p>		

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U.S. APPLICATION NO. <small>37 CFR 1.14(e)</small> <b>10/538318</b>	INTERNATIONAL APPLICATION NO. PCT/GB2003/005542	ATTORNEY'S DOCKET NUMBER ASZD-P01-940		
The following fees have been submitted		CALCULATIONS PTO USE ONLY		
21. <input checked="" type="checkbox"/> Basic national fee ..... \$300		\$ 300.00		
22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) ..... \$100 All other situations ..... \$200				
23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority ..... \$100 International Search Report prepared and provided to the Office ..... \$400 All other situations ..... \$500		\$ 200.00		
		\$ 500.00		
		\$ 1,000.00		
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.				
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)		
28 - 100 =	/50 =	x \$250.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).		\$		
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE
Total claims		14 - 20 =	x	\$ 0.00
Independent claims		5 - 3 =	2	\$ 200.00
MULTIPLE DEPENDENT CLAIM(s) (if applicable)			+ 360.00	\$ 360.00
			TOTAL OF ABOVE CALCULATIONS =	\$ 1,760.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.		\$		
		SUBTOTAL =	\$ 1,760.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).		+	\$	
		TOTAL NATIONAL FEE =	\$ 1,760.00	
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		+	\$ 40.00	
		TOTAL FEES ENCLOSED =	\$ 1,800.00	
		Amount to be refunded:	\$	
		Amount to be charged:	\$	
<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. <u>18-1945</u> in the amount of \$ <u>1,800.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>18-1945</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>				
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.				
SEND ALL CORRESPONDENCE TO:				
Patricia Granahan, Sc.D. ROPES & GRAY LLP One International Place Boston, Massachusetts 02110-2624 (617) 951-7449				
CUSTOMER NUMBER: 28120				
 SIGNATURE: David P. Halstead, Ph.D. NAME <u>44,735</u> REGISTRATION NUMBER				

10/538318

JC20 Rec'd PCT/PTO 13 JUN 2005

Application No. (if known): Not Yet Assigned

Attorney Docket No.: ASZD-P01-940

## Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. EV520562366US in an envelope addressed to:

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Mary Jane DiPalma  
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Transmittal Letter to the United States Designated-Elected Office (2 pages)  
Preliminary Amendment (12 pages)  
Copy of the International application including cover page (29 pages)  
Copy of International Preliminary Examination Report from PCT/GB2003/005542 (2 pages)  
Application Data Sheet (4 pages)  
Oath or declaration of the inventor(s) (3 pages)  
IDS and PTO Form SB/08 (3 pages)  
Copy of International Search Report from PCT/GB2003/005542 (5 pages)  
Recordation Form Cover Sheet and Assignment (4 pages)  
Charge \$1,800.00 to deposit account 18-1945  
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Return Receipt Postcard